

Docket No.: 5895P043

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

HONG SOON NAM, ET AL.

Application No.: 10/695,174

Filed: October 27, 2003

For: Resource Allocation Method for Providing

Load Balancing and Fairness For Dual

Ring

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The references were cited in a Search Report dated March 24, 2004 (copy enclosed herewith) in a counterpart United Kingdom application, which was forwarded to Applicant's Representative in a communication dated March 31, 2004.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKPLOFF, TAYLOR & ZAFMAN LLP

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

Date:

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TRANSMITTAL FORM			Filing Date	October 27, 2003		
(to be used for all correspondence after initial filing)		First Named Inventor	Hong Soon Nam			
		Art Unit				
			Examiner Name			
Total Number of F	Pages in This Submission	on 9	Attomey Docket Number	5895P043		
	ENCLOSURES (check all that apply)					
Fee Transmittal	Form	Drawing(s))	After Allowance Communication to Group		
Fee Attact	ned	Licensing-r	elated Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	sponse	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Fina Affidavits/	l 'declaration(s)	Petition to 0 Provisional	Convert a Application	Proprietary Information		
Extension of Tim	e Request	Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter		
Express Abando	nment Request	Terminal C	Disclaimer	Other Enclosure(s) (please identify below):		
Information Disclosure Statement		Request for Refund		UK Search Report; Prior Art References (1); return		
PTO/SB/08		CD, Number of CD(s)		postcard		
Certified Copy of Priority Document(s)						
Response to Mis Incomplete Appli	ssing Parts/ cation	Remarks				
	Filing Fee					
	Declaration/POA Response to Missing Parts under 37 CFR					
1.52 or 1.5	37 OFK 33					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or	Eric S. Hyman	, Reg. No. 30	,139			
Individual name BLAKELY, SOKOLOFF				MAN LLP		
Signature		Certa				
Date	/ / / /					
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Effective 01/01/2004. Patent fees are subject to annual revision.

Based on PTO/SB/17 (10-03) as modified by Blakely, Solokoff, Taylor & Zatrafn (wtr) 02/10/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 223 3-1450

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Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Filing Date October 27, 2003

First Named Inventor Hong Soon Nam

Examiner Name

Art Unit

Attorney Docket No. 5895P043

Application Number

Complete if Known

10/695,174

METHOD OF PAYMENT (check all that apply)				FE	EE CALCULATION (continued)	
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Deposit Account Number 02-2666	Code	(\$)	Code	(\$)	Fee Description Fee Pa	aid
Number 02-2000	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account District Colors of Tourism 6, 7-6, and LLD	1062	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Rame Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification	
The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520		
Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920	* Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1,840	* Requesting publication of SIR after	
Charge fee(s) indicated below, except for the filling fee	"	.,		.,	Examiner action	
to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month	-
FEE CALCULATION	1252	430	2252	215	Extension for reply within second month	
1. BASIC FILING FEE	1253	980	2253	490	Extension for reply within third month	_
Large Entity Small Entity	1254	1,530	2254	765	Extension for reply within fourth month	_
Fee Fee Fee Fee <u>Fee Description</u> Fee Paid Code (\$)	1255	2,080	2255	1,040	Extension for reply within fifth month	
1001 790 2001 395 Utility filing fee	1404	340	2401	170	Notice of Appeal	
1002 350 2002 175 Design filing fee	1402	340	2402	170	Filing a brief in support of an appeal	
1003 550 2003 275 Plant filing fee	1403	300	2403	150	Request for oral hearing	
1004 790 2004 395 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	_
SUBTOTAL (1) (\$)	1453	1,370	2453	685	Petition to revive - unintentional	
	1501	1,370	2501	685		
2. EXTRA CLAIM FEES Extra Fee from	1502	490	2502	245		_
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Code (\$) Code (\$)	1809	790	1809	395	Filing a submission after final rejection	-
1202 18 2202 9 Claims in excess of 20					(37 CFR § 1.129(a))	
1201 88 2201 44 Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	ļ
1203 300 2203 150 Multiple Dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 88 2204 44 **Reissue independent claims over original patent	1802	900	1802	900		-
1205 18 2205 9 **Reissue claims in excess of 20 and over	Other fe	e (specify)			of a design application	
original patent						
SUBTOTAL (2) (\$) * Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)					011070741 (0)	
**or number previously paid, if greater, For Reissues, see below	Reduce	u uy dasiÇ f	wing ree	: i-aiu	SUBTOTAL (3) (\$)	
SUBMITTED BY					Complete (if applicable)	
Name (Print/Type) Eric S. Hyman	R	egistratio	on No.		30,139 Telephone (310) 207-380	0
Signature					Data 11/6/20	

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E.	INFORMATION DISCLOSURI
TRAI	TATEMENT BY APPLICANT
	(use as many sheets as necessary)

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Application Number 10/695,174				
Filing Date	October 27, 2003			
First Named Inventor	Hong Soon Nam			
Art Unit				
Examiner Name				
Attorney Docket Number	5895P043			

			U.S. PAT	ENT DOCUMENTS		
_	Document Number		Publication Date		Pages Columns Lines Where	
Examiner Initials*	Cite No.1	Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-2002/0067835	06-06-2002	Vatter		
		US-2003/0072268	04-17-2003	Nishimura et al.		
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	D branch Date		Pages, Columns, Lines,		
Initials* No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	١٠		
		WO 03/067835	08-14-2003	Tellabs Operations, Inc.		_	
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Examiner	Date
Signature	Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ¹For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.